

**FINANCIAL ASSISTANCE
FUNDING OPPORTUNITY ANNOUNCEMENT**



**U.S. Department of Energy
Office of Science
Office of Nuclear Physics**

**Annual Notice
For Continuation of Availability
Of Grants and Cooperative Agreements
For Nuclear Physics**

Funding Opportunity Number: DE-PS02-08ER08-32

Announcement Type: Initial

CFDA Number: 81.049

ISSUE DATE: September 10, 2008

Letter of Intent Due Date: October 1, 2008, 5:00 PM Eastern Time

Application Due Date: November 15, 2008, 8:00 PM Eastern Time

NOTE: REQUIREMENTS FOR GRANTS.GOV

Where to Submit: Applications must be submitted through Grants.gov to be considered for award. You cannot submit an application through Grants.gov unless you are registered. Please read the registration requirements carefully and start the process immediately. Remember you have to update your CCR registration annually. If you have any questions about your registration, you should contact the Grants.gov Helpdesk at 1-800-518-4726 to verify that you are still registered in Grants.gov.

Registration Requirements: There are several one-time actions you must complete in order to submit an application through Grants.gov (e.g., obtain a Dun and Bradstreet Data Universal Numbering System (DUNS) number, register with the Central Contract Registry (CCR), register with the credential provider, and register with Grants.gov). See <http://www.grants.gov/GetStarted>. Use the Grants.gov Organization Registration Checklist at <http://www.grants.gov/assets/OrganizationRegCheck.pdf> to guide you through the process. Designating an E-Business Point of Contact (EBiz POC) and obtaining a special password called an MPIN are important steps in the CCR registration process. Applicants, who are not registered with CCR and Grants.gov, should allow at least 21 days to complete these requirements. It is suggested that the process be started as soon as possible.

IMPORTANT NOTICE TO POTENTIAL APPLICANTS: When you have completed the process, you should call the Grants.gov Helpdesk at 1-800-518-4726 to verify that you have completed the final step (i.e. Grants.gov registration).

MICROSOFT VISTA AND OFFICE 2007 COMPATIBILITY: Grants.gov is currently incompatible with both the new Microsoft (MS) Vista Operating System and the new Microsoft (MS) Office 2007 versions of Word, Excel and Power Point. In order to create and submit your application to Grants.gov, you must find a computer with a previous version Microsoft Operating System, such as Windows XP.

If you attach a file created using MS Office 2007, you will not get an error message when you submit the application, HOWEVER your entire application will not be able to be processed or accepted at Grants.gov and will not reach DOE. Grants.gov can accept applications with attachments created in MS Office 2007 if the attachments are saved in the prior format. See www.grants.gov/www.grants.gov/assets/Vista_and_office_07_Compatibility.pdf for detailed instructions on how to do this. A file created in MS Office 2007 can be identified by the "x" at the end of the file extension, for example "sample.docx" for a Word file. Contact Grants.gov at 1-800-518-4726 with any questions.

Questions: Questions relating to the registration process, system requirements, how an application form works, or the submittal process must be directed to Grants.gov at 1-800-518-4726 or support@grants.gov. Part VII of this announcement explains how to submit other questions to the Department of Energy (DOE).

Application Receipt Notices: After an application is submitted, the Authorized Organization Representative (AOR) will receive a series of five e-mails. It is extremely important that the AOR watch for and save each of the e-mails. It may take up to two (2) business days from application submission to receipt of e-mail Number 2. When the AOR receives e-mail Number 5, it is their responsibility to follow the instructions in the e-mail to logon to IIPS and verify that their application was received by DOE. The titles of the five e-mails are:

Number 1 – Grants.gov Submission Receipt Number

Number 2 – Grants.gov Submission Validation Receipt for Application Number

Number 3 – Grants.gov Grantor Agency Retrieval Receipt for Application Number

Number 4 – Grants.gov Agency Tracking Number Assignment for Application Number

Number 5 – DOE e-Center Grant Application Received

The last e-mail will contain instructions for the AOR to register with the DOE e-Center. If the AOR is already registered with the DOE e-Center, the title of the last e-mail changes to:

Number 5 – DOE e-Center Grant Application Received and Matched

This e-mail will contain the direct link to the application in IIPS. The AOR will need to enter their DOE e-Center user id and password to access the application.

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PART I – FUNDING OPPORTUNITY DESCRIPTION

THE TECHNICAL CONTACT/PROGRAM MANAGER FOR THIS PROGRAM IS:

Dr. Eugene Henry (Low Energy Nuclear Physics)

Phone: (301) 903-6093

E-mail: Gene.Henry@science.doe.gov

ADDITIONAL PROGRAM CONTACTS:

Dr. W. Bradford Tippens (Medium Energy Nuclear Physics)

Phone: 301-903-3904

E-mail: Brad.Tippens@science.doe.gov

Dr. Gulshan Rai (Heavy Ion Nuclear Physics)

Phone: 301-903-4702

E-mail: Gulshan.Rai@science.doe.gov

Dr. Eugene Henry (Nuclear Theory and Nuclear Data)

Phone: 301-903-6093

E-mail: Gene.Henry@science.doe.gov

SUMMARY:

The Office of Nuclear Physics (NP), within the Office of Science (SC) of the Department of Energy (DOE), hereby announces its continuing interest in receiving **NEW** applications for support of research in Nuclear Physics.

On September 3, 1992, DOE published in the Federal Register the Office of Energy Research Financial Assistance Program (now called the Office of Science Financial Assistance Program), 10 CFR Part 605, Final Rule, which contained a solicitation for this program. The purpose of this solicitation is to request that all **NEW** applications for the Office of Nuclear Physics be submitted in response to this notice instead of the "Annual Notice - Continuing Solicitation for all Office of Science Programs". If the deadline of this solicitation is not met, the application will probably not be considered for funding until the next Fiscal Year. This does not change the process for renewal and supplemental applications. All **renewal and supplemental** applications should still be submitted in response to the "Annual Notice - Submission of Renewal and Supplemental Applications for Office of Science Grants". Information about submission of applications, eligibility, limitations, evaluation and selection processes and other policies and procedures are specified in 10 CFR Part 605 which can be accessed at: <http://www.science.doe.gov/grants/>. Additional requirements for applicants to the Office of Nuclear Physics can be found at <http://www.sc.doe.gov/np/grants/grants.html>.

SUPPLEMENTARY INFORMATION:

The following program descriptions are offered to provide more in-depth information on scientific and technical areas of interest to the Office of Science.

Nuclear Physics

The Nuclear Physics program supports basic research, technical developments and world-class accelerator facilities to expand our fundamental understanding of the interactions and structures of atomic nuclei and nuclear matter, and an understanding of the forces of nature as manifested in nuclear matter. Today, the reach of nuclear physics extends from the quarks and gluons that form the substructure of the once-elementary protons and neutrons, to the most dramatic of cosmic events-supernovae. These and many other diverse activities are driven by five broad questions articulated in 2002 by the Nuclear Science Advisory Committee (NSAC) in the [*Opportunities in Nuclear Science: A Long-Range Plan for the Next Decade*](#). The four subprogram areas and their objectives are organized around answering these five key questions. Research activities supported by the Office of Nuclear Physics are aligned with and contribute to the overall progress of the following long term performance measures:

- Make precision measurements of fundamental properties of the proton, neutron and simple nuclei for comparison with theoretical calculations to provide a quantitative understanding of their quark substructure.
- Recreate brief, tiny samples of hot, dense nuclear matter to search for the quark-gluon plasma and characterize its properties.
- Investigate new regions of nuclear structure, study interactions in nuclear matter like those occurring in neutron stars, and determine the reactions that created the nuclei of atomic elements inside stars and supernovae.
- Measure fundamental properties of neutrinos and test fundamental symmetries of nature that are relevant to the field of nuclear physics or that use the atomic nucleus as a laboratory.
- Contribute to the theoretical understanding of any of the above.

The program is organized into the following four subprograms:

(a) Medium Energy Nuclear Physics

This subprogram supports experimental research primarily at the Thomas Jefferson National Accelerator Facility and with the polarized proton collision program at the Relativistic Heavy Ion Collider (RHIC-Spin), directed at answering the first key question: *What is the structure of the nucleon?* Detailed investigations of the structure of the nucleon are aimed at understanding how these basic building blocks of matter are constructed from the elementary quarks and gluons of Quantum Chromo-Dynamics (QCD) and how complex interactions among them generate all the properties of the nucleon, including its electromagnetic and spin properties. New knowledge in this area would also allow the nuclear binding force to be described in terms of QCD, thus providing a path for understanding the structure of atomic nuclei from first principles.

Program Contact: Dr. W. Bradford Tippens (301) 903-3904

(b) Heavy Ion Nuclear Physics

This subprogram supports experimental research primarily at the Relativistic Heavy Ion Collider (RHIC) directed at answering the second question: *What are the properties of hot nuclear matter?* At extremely high temperatures, such as those that existed in the early universe immediately after the "Big Bang," normal nuclear matter is believed to revert to its primeval state called the quark-gluon plasma. This research program aims to recreate extremely small and brief samples of this high energy density phase of matter in the laboratory by colliding heavy nuclei at relativistic energies. At much lower temperatures, nuclear matter passes through another phase transition from a Fermi liquid to a Fermi gas of free roaming nucleons; understanding this phase transition is also a goal of the subprogram.

Program Contact: Dr. Gulshan Rai (301) 903-4702

(c) Low Energy Nuclear Physics

This subprogram supports experimental research directed at understanding the remaining three questions: *What is the structure of nucleonic matter?* Forefront nuclear structure research lies in studies of nuclei at the limits of excitation energy, deformation, angular momentum, and isotopic stability. The properties of nuclei at these extremes are not known and such knowledge is needed to test and drive improvement in nuclear models and theories about the nuclear many-body system. *What is the nuclear microphysics of the universe?* Knowledge of the detailed nuclear structure, nuclear reaction rates, half-lives of specific nuclei, and the limits of nuclear existence at both the proton and neutron drip lines is crucial for understanding the nuclear astrophysics processes responsible for the production of the chemical elements in the universe, and the explosive dynamics of supernovae. *Is there new physics beyond the Standard Model?* Studies of fundamental interactions and symmetries, including those of neutrino oscillations, are indicating that our current "Standard Model" theory which explains what the universe is and what holds it together is incomplete, opening up possibilities for new discoveries by precision experiments.

Program Contact: Dr. Eugene A. Henry (301) 903-6093

(d) Nuclear Theory (including the Nuclear Data subprogram)

Progress in nuclear physics, as in any science, depends critically on improvements in the theoretical techniques and on new insights that will lead to new models and theories that can be applied to interpret experimental data and predict new behavior. The Nuclear Theory program supports theoretical research directed at understanding all five of the central questions identified in the NSAC 2002 Long Range Plan.

Included in the theory program are the activities that are aimed at providing information services on critical nuclear data and have as a goal the compilation and dissemination of an accurate and complete nuclear data information base that is readily accessible and user oriented.

Program Contact: Dr. Eugene Henry (301) 903-6093

Program Funding

It is anticipated that approximately \$60 million will be allocated for all Nuclear Physics grant and cooperative agreement awards in Fiscal Year 2009. A total of approximately **25%-30%** of that amount will be available for new awards under this notice and for renewals of existing grants in response to the "Annual Notice - Continuing Solicitation for all Office of Science Programs". All new grant applications received before November 15, 2008 under this solicitation will be acted on during the fiscal year. DOE is under no obligation to pay for any costs associated with the preparation or submission of an application. DOE reserves the right to fund, in whole or in part, any, all, or none of the applications submitted in response to this Notice.

PART II – AWARD INFORMATION

A. TYPE OF AWARD INSTRUMENT.

DOE anticipates awarding grants and cooperative agreements under this program announcement. If it is determined that a cooperative agreement is the appropriate award instrument, the nature of the Federal involvement will be included in a special award condition.

B. ESTIMATED FUNDING.

It is anticipated that approximately \$60 million will be allocated for all Nuclear Physics grant and cooperative agreement awards in Fiscal Year 2009. A total of approximately **25%-30%** of that amount will be available for new awards under this notice and for renewals of existing grants in response to the "Annual Notice - Continuing Solicitation for all Office of Science Programs". The actual size and number of awards will be determined by the number of excellent applications received and the total amount of funds available for this program. Multiple year grants should be requested if the project cannot be completed in one year. A maximum of three years will be considered. Out-year funding will be provided on an annual basis subject to availability of funds. The DOE is under no obligation to pay for any costs associated with the preparation or submission of an application. DOE reserves the right to fund, in whole or in part, any, all, or none of the applications submitted in response to this Notice.

C. MAXIMUM AND MINIMUM AWARD SIZE.

See B. Estimated Funding section above.

D. EXPECTED NUMBER OF AWARDS.

See B. Estimated Funding section above.

E. ANTICIPATED AWARD SIZE.

See B. Estimated Funding section above.

F. PERIOD OF PERFORMANCE.

See B. Estimated Funding section above.

G. TYPE OF APPLICATION.

DOE will accept new applications under this Announcement.

PART III - ELIGIBILITY INFORMATION

A. ELIGIBLE APPLICANTS.

All types of applicants are eligible to apply, except other Federal agencies, Federally Funded Research and Development Center (FFRDC) Contractors, and nonprofit organizations described in section 501(c)(4) of the Internal Revenue Code of 1986 that engaged in lobbying activities after December 31, 1995.

B. COST SHARING.

Cost sharing is not required.

C. OTHER ELIGIBILITY REQUIREMENTS.

N/A

PART IV – APPLICATION AND SUBMISSION INFORMATION

A. ADDRESS TO REQUEST APPLICATION PACKAGE.

Application forms and instructions are available at Grants.gov. To access these materials, go to <http://www.grants.gov>, select "Apply for Grants", and then select "Download Application Package". Enter the CFDA and/or the funding opportunity number located on the cover of this announcement and then follow the prompts to download the application package.

NOTE: You will not be able to download the Application Package unless you have installed PureEdge Viewer (See: <http://www.grants.gov/DownloadViewer>).

B. LETTER OF INTENT AND PREAPPLICATION.

1. Letter of Intent.

A Letter-of-Intent (LOI), consisting of information on collaborators and a brief summary of proposed research (one paragraph), is encouraged (but not required) and should be submitted by October 1, 2008, by e-mail directly to the Office of Nuclear Physics to one of the addresses listed above under PART I – FUNDING OPPORTUNITY DESCRIPTION. Please include the phrase “New Application Letter of Intent” in the subject line of the e-mail.

C. CONTENT AND FORM OF APPLICATION – SF 424 (R&R).

You must complete the mandatory forms and any applicable optional forms (e.g., SF-LLL-Disclosure of Lobbying Activities) in accordance with the instructions on the forms and the additional instructions below. **Files that are attached to the forms must be in Adobe Portable Document Format (PDF) unless otherwise specified in this announcement.**

1. SF 424 (R&R).

Complete this form first to populate data in other forms. Complete all the required fields in accordance with the pop-up instructions on the form. To activate the instructions, turn on the “Help Mode” (Icon with the pointer and question mark at the top of the form). The list of certifications and assurances referenced in Field 18 can be found on the DOE Financial Assistance Forms Page at: http://management.energy.gov/business_doe/business_forms.htm, under Certifications and Assurances.

2. RESEARCH AND RELATED Other Project Information.

Complete questions 1 through 5 and attach files. The files must comply with the following instructions:

Project Summary/Abstract (Field 6 on the Form).

The project summary/abstract must contain a summary of the proposed activity suitable for dissemination to the public. It should be a self-contained document that identifies the name of the applicant, the project director/principal investigator(s) (PD/PI), the project title, the objectives of the project, a description of the project, including methods to be employed, the potential impact of the project (i.e., benefits, outcomes), and major participants (for collaborative projects). This document must not include any proprietary or sensitive business information as the Department may make it available to the public. The project summary must not exceed 1 page when printed using standard 8.5” by 11” paper with 1” margins (top, bottom, left and right) with font not smaller than 11 point. To attach a Project Summary/Abstract, click “Add Attachment.”

Project Narrative (Field 7 on the Form).

The project narrative should be printed using standard 8.5” by 11” paper with 1 inch margins (top, bottom, left, and right). The font must not be smaller than 11 point. Do not include any Internet addresses (URLs) that provide information necessary to review the application, because the information contained in these sites will not be reviewed. **All applications should be in a single PDF file with the exception of the budgets and Project Summary which must be attached separately in Grants.gov.** The PDF file may also include a few selected publications in an Appendix as background information. In addition, please limit biographical and publication information for the principal investigator and key personnel to no more than two pages each. Letters from collaborators should also be included, if applicable. See below for detailed requirements regarding the Project Description, Biographical Sketch and Collaborators, etc. To attach a Project Narrative, click “Add Attachment.”

The Project Description must be no more than 15 pages in total, with an additional five pages allowed for each additional senior researcher on the application. The authors of the application can organize the Project Description text at their discretion, subject to the requirements below. The Project Description should provide a more detailed discussion than that of the Project Introduction of the work to be undertaken and should include a discussion of the objectives in relation to:

- Long-term goals, particularly in relationship to the NSAC Long Range Plan and Performance Measures for Nuclear Physics.
- Present state of knowledge of the field.
- Any other work by the PI not supported by this grant.
- A general plan of work of the activities to be undertaken over the grant period (typically 3 years) and an adequate description of methodology and necessary resources to convince a reviewer of its feasibility.
- Necessary information that explicitly justifies the requested resources (manpower, equipment, travel, etc.).
- Institutional support and resources.

- A brief discussion of how the work will contribute to the education of students, if applicable, and identify any potential benefits to society. Include career history of recent research associates and graduate students.

Student Tracking Information

The Office of Nuclear Physics needs to keep track of graduate students supported on research grants. Please provide, in tabular form, the following information for each graduate student receiving (in the case of a renewal proposal), or expected to receive (in the case of a new proposal) any support from this grant, during this funding period:

- Name of the student
- Date (mm/yyyy) when the student entered graduate school at the host institution
- Date (mm/yyyy) on which the student joined the research group
- Date (mm/yyyy) when the student graduated, or is expected to graduate.

The first page of your narrative must include the following information (this page will not count in the project narrative page limitation):

Applicant/Institution:

Street Address/City/State/Zip:

Principal Investigator:

Address:

Telephone Number:

Email:

Funding Opportunity Announcement Number: DE-PS02-08ER08-28

DOE/Office of Science Program Office: Office of High Energy Physics

DOE/Office of Science Program Office Technical Contact: Dr. Chung Ngoc Leung

DOE Grant Number (if Renewal or Supplemental Application):

Is this a Collaboration? If yes, please list ALL Collaborating Institutions/Pis* and indicate which ones will also be submitting applications.

** Note that collaborating applications must be submitted separately.*

The narrative comprises the research plan for the project. Letters of intent from all non-funded collaborators and short curriculum vitae of all senior personnel must be included in the application. Applications not meeting these requirements will be deemed ineligible during the initial screening process.

The project narrative must include:

Project Objectives:

This section should provide a clear, concise statement of the specific objectives/aims of the proposed project.

The Project Narrative comprises the research plan for the project, it should contain enough background material in the Introduction, including review of the relevant literature, to demonstrate sufficient knowledge of the state of the science. The major part of the narrative should be devoted to a description and justification of the proposed

project, including details of the method to be used. It should also include a timeline for the major activities of the proposed project, and should indicate which project personnel will be responsible for which activities.

Project Timetable:

This section should outline as a function of time, year by year, all the important activities or phases of the project, including any activities planned beyond the project period. Successful applicants must use this project timetable to report progress.

Project Performance Site:

Indicate the primary site where the work will be performed. If a portion of the work will be performed at any other sites, identify those sites, also.

Appendix 1: Biographical Sketch.

Provide a biographical sketch for the project director/principal investigator (PD/PI) and each senior/key person listed in Section A on the R&R Budget form. **Provide the biographical sketch information as an appendix to your project narrative. Do not attach a separate file.** The biographical sketch appendix will not count in the project narrative page limitation. The biographical information for each person must not exceed 2 pages when printed on 8.5” by 11” paper with 1 inch margins (top, bottom, left, and right) with font not smaller than 11 point and must include:

Education and Training. Undergraduate, graduate and postdoctoral training, provide institution, major/area, degree and year.

Research and Professional Experience: Beginning with the current position list, in chronological order, professional/academic positions with a brief description.

Publications. Provide a list of up to 10 publications most closely related to the proposed project. For each publication, identify the names of all authors (in the same sequence in which they appear in the publication), the article title, book or journal title, volume number, page numbers, year of publication, and website address if available electronically.

Patents, copyrights and software systems developed may be provided in addition to or substituted for publications.

Synergistic Activities. List no more than 5 professional and scholarly activities related to the effort proposed.

Current and Pending Support Appendix.

Provide a list of all current and pending support (both Federal and non-Federal) for the Project Director/Principal Investigator(s) (PD/PI) and senior/key persons, including subawardees, for ongoing projects and pending applications. For each organization providing support, show the total award amount for the entire award period (including indirect costs) and the number of person-months per year to be devoted to the project by the senior/key person. Provide the Current and Pending

Support as an appendix to your project narrative. Do not attach a separate file. The Current and Pending Support Appendix will not count in the project narrative page limitation. Concurrent submission of an application to other organizations for simultaneous consideration will not prejudice its review. Save the information in a separate file and attach to the “Attach Current and Pending Support” field in each profile.

Identification of Potential Conflicts of Interest/Bias in Selection of Reviewers Appendix.

Provide the following information in this appendix and append to your project narrative. Do not attach a separate file. (This appendix will not count in the project narrative page limitation):

Collaborators and Co-editors: List in alphabetical order all persons, including their current organizational affiliation, who are, or who have been, collaborators or co-authors with you on a research project, book or book article, report, abstract, or paper during the 48 months preceding the submission of this application. Also, list any individuals who are currently, or have been, co-editors with you on a special issue of a journal, compendium, or conference proceedings during the 24 months preceding the submission of this application. If there are no collaborators or co-editors to report, state “None.”

Graduate and Postdoctoral Advisors and Advisees: List the names and current organizational affiliations of your graduate advisor(s) and principal postdoctoral sponsor(s) during the last five years. Also, list the names and current organizational affiliations of your graduate students and postdoctoral associates during the past five years.

Bibliography & References Cited Appendix

Provide a bibliography of any references cited in the Project Narrative. Each reference must include the names of all authors (in the same sequence in which they appear in the publication), the article and journal title, book title, volume number, page numbers, and year of publication. Include only bibliographic citations. Applicants should be especially careful to follow scholarly practices in providing citations for source materials relied upon when preparing any section of the application. In order to reduce the number of files attached to your application, please provide the Bibliography and References Cited information as an appendix to your project narrative. This appendix will not count in the project narrative page limitation.

Facilities & Other Resources Appendix

This information is used to assess the capability of the organizational resources, including subawardee resources, available to perform the effort proposed. Identify the facilities to be used (Laboratory, Animal, Computer, Office, Clinical and Other). If appropriate, indicate their capacities, pertinent capabilities, relative proximity, and extent of availability to the project. Describe only those resources that are directly applicable to the proposed work. Describe other resources available to the project (e.g., machine shop, electronic shop) and the extent to which they would be available

to the project. In order to reduce the number of files attached to your application, please provide the Facility and Other Resource information as an appendix to your project narrative. This appendix will not count in the project narrative page limitation.

Equipment Appendix

List major items of equipment already available for this project and, if appropriate identify location and pertinent capabilities. In order to reduce the number of files attached to your application, please provide the Equipment information as an appendix to your project narrative. This appendix will not count in the project narrative page limitation.

Other Attachment Appendix

If you need to elaborate on your responses to questions 1-5 on the “Other Project Information” document, please provide this information as an appendix to your project narrative. This appendix will not count in the project narrative page limitation.

Do not attach files for fields 8, 9, 10, and 11, instead follow the above instructions to include the information as appendices to the project narrative file.

3. RESEARCH AND RELATED BUDGET.

Complete the Research and Related Budget form in accordance with the instructions on the form (Activate Help Mode to see instructions) and the following instructions. You must complete a separate budget for each year of support requested. The form will generate a cumulative budget for the total project period. You must complete all the mandatory information on the form before the NEXT PERIOD button is activated. You may request funds under any of the categories listed as long as the item and amount are necessary to perform the proposed work, meet all the criteria for allowability under the applicable Federal cost principles, and are not prohibited by the funding restrictions in this announcement (See PART IV, G).

Budget Justification (Field K on the form).

Provide the required supporting information for the following costs (See R&R Budget instructions): equipment; domestic and foreign travel; participant/trainees; material and supplies; publication; consultant services; ADP/computer services; subaward/consortium/contractual; equipment or facility rental/user fees; alterations and renovations; and indirect cost type. Provide any other information you wish to submit to justify your budget request. **Attach a single budget justification file for the entire project period in Field K.** The file automatically carries over to each budget year.

4. SF-LLL Disclosure of Lobbying Activities.

If applicable, complete SF- LLL. Applicability: If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the grant/cooperative agreement, you must complete and submit Standard Form - LLL, "Disclosure Form to Report Lobbying."

Summary of Required Forms/Files

Your application must include the following documents:

Name of Document	Format	Attach to
SF 424 (R&R)	PureEdge Form	N/A
RESEARCH AND RELATED Other Project Information	PureEdge Form	N/A
Project Summary/Abstract	PDF	Field 6
Project Narrative, including required appendices	PDF	Field 7
RESEARCH & RELATED BUDGET	PureEdge Form	N/A
Budget Justification	PDF	Field K

D. SUBMISSIONS FROM SUCCESSFUL APPLICANTS.

If selected for award, DOE reserves the right to request additional or clarifying information for any reason deemed necessary, including, but not limited to:

- Indirect cost information
- Other budget information
- Name and phone number of the Designated Responsible Employee for complying with national policies prohibiting discrimination (See 10 CFR 1040.5)
- Representation of Limited Rights Data and Restricted Software, if applicable
- Commitment Letter from Third Parties Contributing to Cost Sharing, if applicable

E. SUBMISSION DATES AND TIMES.

1. Preapplication Due Date.

Preapplications are not required.

2. Letter of Intent Due Date.

A Letter-of-Intent (LOI), consisting of information on collaborators and a brief summary of proposed research (one paragraph), is encouraged (but not required) and should be submitted by October 1, 2008, by e-mail directly to the Office of Nuclear Physics at one of the addresses listed above under PART I – FUNDING OPPORTUNITY DESCRIPTION. Please include the phrase “New Application Letter of Intent” in the subject line of the e-mail.

3. Formal Applications.

Formal applications submitted in response to this Announcement must be received by November 15, 2008, 8:00 p.m. Eastern time, to permit timely consideration of awards in Fiscal Year 2009. **You are encouraged to transmit your application well before the deadline. APPLICATIONS RECEIVED AFTER THE DEADLINE WILL NOT BE REVIEWED OR CONSIDERED FOR AWARD.** Any new applications not able to meet this deadline may be submitted in response to the "Annual Notice - Continuing Solicitation for all Office of Science Programs" mentioned above for consideration in the subsequent Fiscal Year.

F. INTERGOVERNMENTAL REVIEW.

This program is not subject to Executive Order 12372 Intergovernmental Review of Federal Programs.

G. FUNDING RESTRICTIONS.

Cost Principles. Costs must be allowable in accordance with the applicable Federal cost principles referenced in 10 CFR Part 600.

Pre-award Costs. Recipients may charge to an award resulting from this announcement pre-award costs that were incurred within the ninety (90) calendar day period immediately preceding the effective date of the award, if the costs are allowable in accordance with the applicable Federal cost principles referenced in 10 CFR Part 600. Recipients must obtain the prior approval of the contracting officer for any pre-award costs that are for periods greater than this 90 day calendar period.

Pre-award costs are incurred at the applicant’s risk. DOE is under no obligation to reimburse such costs if for any reason the applicant does not receive an award or if the award is made for a lesser amount than the applicant expected.

H. OTHER SUBMISSION AND REGISTRATION REQUIREMENTS.

1. Where to Submit.

APPLICATIONS FROM UNIVERSITIES MUST BE SUBMITTED THROUGH GRANTS.GOV TO BE CONSIDERED FOR AWARD.

Submit electronic applications through the “Apply for Grants” function at www.Grants.gov. If you have problems completing the registration process or submitting your application, call Grants.gov at 1-800-518-4726 or send an email to support@grants.gov.

2. Registration Process.

You must COMPLETE the one-time registration process (all steps) before you can submit your first application through Grants.gov (See www.grants.gov/GetStarted). **We recommend that you start this process at least three weeks before the application due date.** It may take 21 days or more to complete the entire process. Use the Grants.gov Organizational Registration Checklists at <http://www.grants.gov/assets/OrganizationRegCheck.pdf> to guide you through the process. **IMPORTANT:** During the CCR registration process, you will be asked to designate an E-Business Point of Contact (EBIZ POC). The EBIZ POC must obtain a special password called “Marketing Partner identification Number” (MPIN). When you have completed the process, you should call the Grants.gov Helpdesk at 1-800-518-4726 to verify that you have completed the final step (i.e. Grants.gov registration).

3. Application Receipt Notices.

After an application is submitted, the Authorized Organization Representative (AOR) will receive a series of five e-mails. It is extremely important that the AOR watch for and save each of the emails. It may take up to two (2) business days from application submission to receipt of email Number 2. When the AOR receives email Number 5, it is their responsibility to follow the instructions in the email to logon to IIPS and verify that their application was received by DOE. You will need the Submission Receipt Number (email Number 1) to track a submission. The titles of the five e-mails are:

Number 1 - Grants.gov Submission Receipt Number

Number 2 - Grants.gov Submission Validation Receipt for Application Number

Number 3 - Grants.gov Grantor Agency Retrieval Receipt for Application Number

Number 4 - Grants.gov Agency Tracking Number Assignment for Application Number

Number 5 – DOE e-Center Grant Application Received

The last email will contain instructions for the AOR to register with the DOE e-Center. If the AOR is already registered with the DOE e-Center, the title of the last email changes to:

Number 5 – DOE e-Center Grant Application Received and Matched

This email will contain the direct link to the application in IIPS. The AOR will need to enter their DOE e-Center user id and password to access the application.

Part V - APPLICATION REVIEW INFORMATION

A. CRITERIA.

1. Initial Review Criteria.

Prior to a comprehensive merit evaluation, DOE will perform an initial review in accordance with 10 CFR 605.10(b) to determine that (1) the applicant is eligible for the award; (2) the information required by the announcement has been submitted; (3) all mandatory requirements are satisfied; and (4) the proposed project is responsive to the objectives of the funding opportunity announcement.

2. Merit Review Criteria.

Applications will be subjected to scientific merit review (peer review) and will be evaluated against the following evaluation criteria which are listed in descending order of importance codified at 10 CFR 605.10(d):

1. Scientific and/or technical merit of the project;
2. Appropriateness of the proposed method or approach;
3. Competency of applicant's personnel and adequacy of proposed resources; and
4. Reasonableness and appropriateness of the proposed budget.

The evaluation process will also include program policy factors such as the relevance of the proposed research to the terms of the announcement and the agencies' programmatic needs. Note that external peer reviewers are selected with regard to both their scientific expertise and the absence of conflict-of-interest issues. Both Federal and non-Federal reviewers may be used, and submission of an application constitutes agreement that this is acceptable to the investigator(s) and the submitting institution.

B. REVIEW AND SELECTION PROCESS.

1. Merit Review.

Applications that pass the initial review will be subjected to a formal merit review and will be evaluated based on the criteria codified at 10 CFR Part 605.10(d) in accordance with the guidance provided in the "Office of Science Merit Review System for Financial Assistance." This Merit Review System is available at: <http://www.science.doe.gov/grants/merit.html>.

2. Selection.

The Selection Official will consider the merit review recommendation, program policy factors, and the amount of funds available.

3. Discussions and Award.

The Government may enter into discussions with a selected applicant for any reason deemed necessary, including but not limited to: (1) the budget is not appropriate or reasonable for the requirement; (2) only a portion of the application is selected for award; (3) the Government needs additional information to determine that the recipient is capable of complying with the requirements in 10 CFR part 600 and 605; and/or (4) special terms and conditions are required. Failure to resolve satisfactorily the issues identified by the Government will preclude award to the applicant.

C. ANTICIPATED NOTICE OF SELECTION AND AWARD DATES.

It is anticipated that selections will be completed by May 2009 and that awards will be made by August 2009.

Part VI - AWARD ADMINISTRATION INFORMATION

A. AWARD NOTICES.

1. Notice of Selection.

DOE will notify applicants selected for award. This notice of selection is not an authorization to begin performance. (See Part IV.G with respect to the allowability of pre-award costs.)

Organizations whose applications have not been selected will be advised as promptly as possible. This notice will explain why the application was not selected.

2. Notice of Award.

A Notice of Financial Assistance Award issued by the contracting officer is the authorizing award document. It normally includes, either as an attachment or by reference: 1. Special Terms and Conditions; 2. Applicable program regulations, if any; 3. Application as approved by DOE; 4. DOE assistance regulations at 10 CFR Part 600, or, for Federal Demonstration Partnership (FDP) institutions, the FDP terms and conditions; 5. National Policy Assurances to Be Incorporated As Award Terms; 6. Budget Summary; and 7. Federal Assistance Reporting Checklist, which identifies the reporting requirements.

B. ADMINISTRATIVE AND NATIONAL POLICY REQUIREMENTS.

1. Administrative Requirements.

The administrative requirements for DOE grants and cooperative agreements are contained in 10 CFR Part 600 and 10 CFR Part 605 (See: <http://ecfr.gpoaccess.gov>), except for grants made to Federal Demonstration Partnership (FDP) institutions. The FDP terms and conditions and DOE FDP agency specific terms and conditions are located on the National Science Foundation web site at http://www.nsf.gov/awards/managing/fed_dem_part.jsp.

2. Special Terms and Conditions and National Policy Requirements.

Special Terms and Conditions and National Policy Requirements.

The DOE Special Terms and Conditions for Use in Most Grants and Cooperative Agreements are located at http://management.energy.gov/business_doe/business_forms.htm. The National Policy Assurances to Be Incorporated As Award Terms are located at http://management.energy.gov/business_doe/business_forms.htm.

Intellectual Property Provisions.

The standard DOE financial assistance intellectual property provisions applicable to the various types of recipients are located at http://www.gc.energy.gov/financial_assistance_awards.htm.

C. REPORTING.

Reporting requirements are identified on the Federal Assistance Reporting Checklist, DOE F4600.2, attached to the award agreement.

PART VII - QUESTIONS/AGENCY CONTACTS

A. QUESTIONS.

Questions regarding the content of the announcement must be submitted through the “Submit Question” feature of the DOE Industry Interactive Procurement System (IIPS) at <http://e-center.doe.gov>. Locate the program announcement on IIPS and then click on the “Submit Question” button. Enter required information. You will receive an electronic notification that your question has been answered. DOE will try to respond to a question within 3 business days, unless a similar question and answer have already been posted on the website.

Questions relating to the registration process, system requirements, how an application form works, or the submittal process must be directed to Grants.gov at 1-800-518-4726 or support@grants.gov. DOE cannot answer these questions.

B. AGENCY CONTACT:

GENERAL INQUIRIES ABOUT THIS NOTICE SHOULD BE DIRECTED TO:

Dr. Eugene Henry (Low Energy Nuclear Physics)
Phone: (301) 903-6093
E-mail: Gene.Henry@science.doe.gov

ADDITIONAL PROGRAM CONTACTS:

Dr. W. Bradford Tippens (Medium Energy Nuclear Physics)
Phone: 301-903-3904
E-mail: Brad.Tippens@science.doe.gov

Dr. Gulshan Rai (Heavy Ion Nuclear Physics)
Phone: 301-903-4702
E-mail: Gulshan.Rai@science.doe.gov

Dr. Eugene Henry (Nuclear Theory and Nuclear Data)
Phone: 301-903-6093
E-mail: Gene.Henry@science.doe.gov

PART VIII - OTHER INFORMATION

A. MODIFICATIONS.

Notices of any modifications to this announcement will be posted on Grants.gov and the DOE Industry Interactive Procurement System (IIPS). You can receive an email when a modification or an announcement message is posted by joining the mailing list for this announcement through the link in IIPS. When you download the application at Grants.gov, you can also register to receive notifications of changes through Grants.gov.

B. GOVERNMENT RIGHT TO REJECT OR NEGOTIATE.

DOE reserves the right, without qualification, to reject any or all applications received in response to this announcement and to select any application, in whole or in part, as a basis for negotiation and/or award.

C. COMMITMENT OF PUBLIC FUNDS.

The Contracting Officer is the only individual who can make awards or commit the Government to the expenditure of public funds. A commitment by other than the Contracting Officer, either explicit or implied, is invalid.

D. PROPRIETARY APPLICATION INFORMATION.

Patentable ideas, trade secrets, proprietary or confidential commercial or financial information, disclosure of which may harm the applicant, should be included in an application only when such information is necessary to convey an understanding of the proposed project. The use and disclosure of such data may be restricted, provided the applicant includes the following legend on the first page of the project narrative and specifies the pages of the application which are to be restricted:

“The data contained in pages _____ of this application have been submitted in confidence and contain trade secrets or proprietary information, and such data shall be used or disclosed only for evaluation purposes, provided that if this applicant receives an award as a result of or in connection with the submission of this application, DOE shall have the right to use or disclose the data herein to the extent provided in the award. This restriction does not limit the government’s right to use or disclose data obtained without restriction from any source, including the applicant.”

To protect such data, each line or paragraph on the pages containing such data must be specifically identified and marked with a legend similar to the following:

“The following contains proprietary information that (name of applicant) requests not be released to persons outside the Government, except for purposes of review and evaluation.”

E. EVALUATION AND ADMINISTRATION BY NON-FEDERAL PERSONNEL.

In conducting the merit review evaluation, the Government may seek the advice of qualified non-Federal personnel as reviewers. The Government may also use non-Federal personnel to conduct routine, nondiscretionary administrative activities. The applicant, by submitting its application, consents to the use of non-Federal reviewers/administrators. Non-Federal reviewers must sign conflict of interest and non-disclosure agreements prior to reviewing an application.

Non-Federal personnel conducting administrative activities must sign a non-disclosure agreement.

F. INTELLECTUAL PROPERTY DEVELOPED UNDER THIS PROGRAM.

Patent Rights. The government will have certain statutory rights in an invention that is conceived or first actually reduced to practice under a DOE award. 42 U.S.C. 5908 provides that title to such inventions vests in the United States, except where 35 U.S.C. 202 provides otherwise for nonprofit organizations or small business firms. However, the Secretary of Energy may waive all or any part of the rights of the United States subject to certain conditions. (See “Notice of Right to Request Patent Waiver” in paragraph G below.)

Rights in Technical Data. Normally, the government has unlimited rights in technical data created under a DOE agreement. Delivery or third party licensing of proprietary software or data developed solely at private expense will not normally be required except as specifically negotiated in a particular agreement to satisfy DOE’s own needs or to insure the commercialization of technology developed under a DOE agreement.

G. NOTICE OF RIGHT TO REQUEST PATENT WAIVER.

Applicants may request a waiver of all or any part of the rights of the United States in inventions conceived or first actually reduced to practice in performance of an agreement as a result of this announcement, in advance of or within 30 days after the effective date of the award. Even if such advance waiver is not requested or the request is denied, the recipient will have a continuing right under the award to request a waiver of the rights of the United States in identified inventions, i.e., individual inventions conceived or first actually reduced to practice in performance of the award. Any patent waiver that may be granted is subject to certain terms and conditions in 10 CFR 784.

Domestic small businesses and domestic nonprofit organizations will receive the patent rights clause at 37 CFR 401.14, i.e., the implementation of the Bayh-Dole Act. This clause permits domestic small business and domestic nonprofit organizations to retain title to subject inventions. Therefore, small businesses and nonprofit organizations do not need to request a waiver.

H.
N/A